

Risk tolerance and investment preferences provide the foundation for determining an appropriate investment portfolio. The following questions address your attitude toward the Risk-Return trade off, Loss Aversion and Investment Preferences. The results of this analysis will be used to determine an asset mix that best fits your investment objectives.

By signing this document I am:

- Adding new account(s) to my previous Management Agreement to be managed at the same risk level
- Updating previous risk level for account # _____
 See Client Profile below

PORTFOLIO MANAGEMENT

- Individual Custodial Joint IRA Roth Trust Corporate Other

Please indicate type of a new account

Please indicate by percentage, how the following statements best describes your approach to investing and building your portfolio. (Percentages (%) must equal 100% - choose all that apply)

Current	Update	
<input style="width: 50px; height: 20px; border: 1px solid black;" type="text" value="%"/>	<input style="width: 50px; height: 20px; border: 1px solid black;" type="text" value="%"/>	I am primarily concerned with protecting the value of my account. I am willing to accept the lower returns of stable investments, which have minimal chance for loss.
↓	↓	
<input style="width: 50px; height: 20px; border: 1px solid black;" type="text" value="%"/>	<input style="width: 50px; height: 20px; border: 1px solid black;" type="text" value="%"/>	I am willing to take some risk in an effort to achieve higher returns, but prefer the large majority of my portfolio be invested in low risk assets.
↓	↓	
<input style="width: 50px; height: 20px; border: 1px solid black;" type="text" value="%"/>	<input style="width: 50px; height: 20px; border: 1px solid black;" type="text" value="%"/>	Achieving higher returns and minimizing risk are equally important to me. I am willing to accept moderate fluctuations in the value of my investments to achieve moderate returns.
↓	↓	
<input style="width: 50px; height: 20px; border: 1px solid black;" type="text" value="%"/>	<input style="width: 50px; height: 20px; border: 1px solid black;" type="text" value="%"/>	I wish to achieve moderately high returns on my investments. I am therefore, willing to accept the short-term fluctuations of higher returning investments.
↓	↓	
<input style="width: 50px; height: 20px; border: 1px solid black;" type="text" value="%"/>	<input style="width: 50px; height: 20px; border: 1px solid black;" type="text" value="%"/>	I am willing to accept large and sometimes dramatic fluctuations in the value of my investments in an effort to achieve high returns. Maximizing investment returns is my primary concern.
↓	↓	
= 100 %	= 100 %	

Please add additional information to assist us in meeting your objectives: _____

Print Name _____ Signature of Client/Custodian _____ Date _____

Print Name _____ Signature of Joint Owner (Spouse) _____ Date _____